



# Notes for Applicants Grants for Digital Research in the Humanities 2018 Competition

# Note: PLEASE READ THESE SCHEME NOTES CAREFULLY

# Any application which is incorrectly submitted will not be eligible for award

# **Programme Aims**

- 1. This new opportunity represents a partnership between the British Academy and Jisc, the UK higher, further education and skills sectors' not for profit organisation for digital services and solutions, <a href="www.jisc.ac.uk.">www.jisc.ac.uk.</a>
  <sup>1</sup> This programme aims to extend support for researchers engaging with Digital Research in the Humanities by offering grants to carry out novel research through the application of new methods and tools to existing digital resources. The use and re-use of existing resources such as digital collections and datasets will demonstrate their capacity to generate new knowledge.
- 2. The intersection of digital technologies and the humanities enables new kinds of research, both in the humanities disciplines and in computer science. Digital Research in the Humanities covers a wide range of methods and practices, including: visualisations of large datasets, 3D modelling of historical artefacts, data mining of large datasets, text mining, data linking, Geographical Information Systems, image and sound processing and analysis.
- 3. This programme addresses the perceived need to promote Digital Research in the Humanities by raising wider awareness through a programme of targeted pilot grants valuable to researchers in their own right but also with the aim of serving as a foundation for a more ambitious funding programme in this area.

Jisc is the UK's expert member organisation for digital technology and digital resources in higher education, further education, skills and research. Our vision is to make the UK the most digitally advanced education and research nation in the world.

We play a pivotal role in the development, adoption and use of technology by UK universities and colleges. We support the use of technology to improve learning, teaching, the student experience and institutional efficiency, as well as more powerful research.

At the heart of Jisc's support is Janet – the UK's world-class National Research and Education Network (NREN). Owned, managed and operated by Jisc, Janet comprises a secure, state-of-the-art network infrastructure spanning all four nations of the UK.

Jisc press office: Press@jisc.ac.uk, 0203 006 6056. Twitter: @Jisc

<sup>&</sup>lt;sup>1</sup> About Jisc

- 4. This is not a call for the digitisation of material that is not currently available in digital format. Rather, it is more explicitly aimed at highlighting outstanding examples of where existing digital material can be used and re-used to demonstrate the capacity to generate new knowledge. Successful applicants must identify and address specific humanities research questions and will be expected to state explicitly in their application the ways in which their use of digital resources will make a significant difference not only to knowledge and understanding of the material that is specifically the subject of the application, but also to wider understanding of how other projects might follow the examples being set through this programme.
- 5. Awards are intended for established researchers (on a contract lasting at least as long as this funded grant) to support short-term projects up to 12 months in length. The projects may either be complete in themselves, though with potential applications for further research, or they may aim for demonstrator or proof-of-concept outcomes potentially scalable to larger investigations at a further stage. These awards are also intended as a networking opportunity to share and highlight best practices collectively with the intention of developing methods and tools that can be used by future projects to answer questions that current approaches cannot normally achieve.

# Purpose of grant

- 6. The programme is expected to be able to support the award of around six grants in total of up to £10,000 each. These grants will be awarded to researchers intending to work in any aspect of research relevant to digital research resources in the humanities, including:
  - History, including History of Science and Medicine
  - History of Art and Cultural History
  - Philology and Linguistics
  - Film, dance or music studies (within a historical or critical context)
  - Literary texts and/or documents in any Humanities subject area
- 7. Three of the grants are available through Jisc funding and are earmarked specifically to support research that makes use of the digitised UK Medical Heritage Library (UKMHL) corpus, which includes over 65k digitised 19th century history of medicine texts openly available on Jisc's Historical Texts at <a href="http://historicaltexts.jisc.ac.uk">http://historicaltexts.jisc.ac.uk</a> A copy of the dataset can be provided to successful grantees on request. Researchers are free to propose to conduct any type of research (linguistic, historical, image-based...) that uses this corpus or cross-analysing this corpus with any other datasets to which they may have access.
- 8. The remit of BA funding schemes does not include primarily practice-based outputs such as in musical composition and performance, visual practice, creative writing, and film-making. Such outputs will be considered to fall within the BA's remit only when they form part of an integrated project of demonstrable critical or historical significance.

# Outputs

9. In terms of outputs, the Academy would expect award-holders, as a minimum, to participate in two events bringing funded researchers from the programme together. These would include a mid-term progress event scheduled for March 2019 and an end-of-grant event scheduled for December 2019. Award-holders will also be required to submit a final report on what has been achieved with the grant and to produce a written case study on the work that has been done (a template for the case study will be provided). Further publications, online or in print, may also be proposed. The intention of the written case study is to demonstrate the difference that using this dataset has enabled, and what would be required to take next steps in the further development of its use and re-use. Successful applicants will be expected to provide a web presence for their project, updating it as

necessary as the project proceeds. The funders may establish their own web platform for the programme, in which case projects will be expected to contribute to this.

## Eligible costs

- 10. Funds are available to support the direct costs of research only (i.e. this award is not offered on a FEC basis). That is to facilitate project planning and development, including research and technical assistance, meetings to progress the project, including travel and visits in connection with the project; and to enable the advancement of research through focussed workshops, or visits by or to partner scholars. Applicants may seek support for any combination of eligible activity and cost up to the overall limit of £10,000 with relevant justification.
- 11. More specifically, funds may be sought to cover the direct expenses incurred in planning, conducting, and developing the research, including:
  - project planning and development costs (including cost of travel for discussion in the UK and overseas);
  - research assistance (based in UK or abroad);
  - costs associated with holding focussed workshops to advance the programme of research (principally the costs of travel and maintenance for key participants, though organisational costs may also be considered if suitably justified);
  - consumables, including specialist software;
  - funding may be requested for the development of software tools for processing existing data.

Bids for the cost of childcare may be considered if the parent/carer is conducting research away from home and it is not possible to make alternative arrangements. In such a case, the Academy will consider making a contribution to the element of cost that is directly attributable to the research project. The costs of normal regular childcare whilst at home are not eligible for support.

Consumables include the purchase of specialist software (not readily available in your organisation), datasets, photocopies, microfilms, etc, and any other minor items that will be used up during the course of the project. Applicants may apply for short-term consultancy or salary costs for expert staff, or short periods of research assistance. Costs associated with deposit of digital material in an appropriately accessible repository may be considered.

The following items are **not eligible** for funding (applicants registered with special needs may consult the Academy about possible exceptions): institutional overheads, or any element that should properly be ascribed to institutional overheads; computer hardware including laptops, electronic notebooks, digital cameras, etc; books and other permanent resources; the preparation of camera-ready copy, copy-editing, proof-reading, indexing, nor any other editorial task; subventions for direct production costs (printing, binding, distribution, marketing etc); costs of publication in electronic media; payment to the principal researcher(s) (including co-applicants) in lieu of salary, or for personal maintenance at home; replacement teaching costs; travel and maintenance expenses for purposes such as lecture tours or to write up the results of research.

All applications should demonstrate that funds are sought for a clearly defined, discrete project, which will have an identifiable outcome primarily related to the benefit of academic research on completion of the Academy-funded component of the project. Applications must make clear if they propose to work with the medical library corpus referred to in paragraph 7, thereby qualifying for the Jisc contribution to the funding of this programme, by answering the relevant question about 'special funding'.

# Eligibility

- 12. Applicants must meet the following eligibility criteria.
  - Grants are available for established researchers, on a permanent contract (which may be part-time or full-time) or, if temporary, on a contract that will not end during the course of the grant, in any field of the humanities. **Postgraduate students are not eligible to apply**.
  - Applicants must be employed by a recognised UK university or research organisation. Collaborative projects are welcomed, but only one application per project will be accepted.
  - Awards will not be made retrospectively: this means that the work for which support is requested **must not** have commenced before the award is announced.
  - Please note the deadline for applications and the earliest start date for research to begin as follows:

| Opening date  | Closing date                  | Research to commence  | Result notified by |
|---------------|-------------------------------|---|--------------------|
| 18 April 2018 | 13 June 2018<br>(5pm UK time) | Not earlier than 1 September<br>2018<br>Not later than 1 January 2019 | Mid August 2018    |

#### Level and duration of award

13. Applicants may bid for up to £10,000, for a project taking place over a maximum period of 12 months. Applicants should not apply for expenditure that will take place over more than 12 months.

# **Applications to multiple British Academy Schemes**

14. Please note that applications may be made to only one similar Academy grant scheme at the same time. (Specifically an application cannot be submitted at the same time to the BA/Leverhulme Small Research Grants scheme and this call for Digital Research in the Humanities programme). An application cannot be accepted if there is a report outstanding on any previous research grant awarded by the Academy to the principal investigator or co-applicant(s) named in the current proposal. Failure to follow this guidance will result in your application being withdrawn from this round of competition. Duplicate applications for the same purpose to more than one Academy scheme will not be accepted.

#### **Nominated Referee**

15. Applicants are required to nominate one referee who is not involved in the project and ensure that the supporting statement from their chosen referee is submitted on the British Academy Flexi-Grant® Grant Management System (GMS) by the application submission deadline, which will be 5pm on 13 June 2018. Please note this deadline is final. The reference must be submitted before the application can be approved. Any application without a supporting reference will not be able to be submitted. Your referee has to be drawn from outside your own employing institution and from outside the employing institution of your co-investigator(s), if any. Before listing your referee on your application form you should seek permission from them that they are happy to provide this by the deadline. It is essential that you enter the correct email address for your referee, otherwise your referee will not receive the automated messages delivered from the British Academy Flexi-Grant® GMS. We strongly advise that you complete your application as early as possible to allow your referee enough time to provide their reference in the system. An application cannot be considered for an award unless the proposal and reference have been submitted on time. Please note that references must be

provided through the GMS, they cannot be provided as an email attachment nor sent by post in hard copy. <u>Any references received after the deadline, or outside of the system, or by another academic at the same institution as you or a co-applicant(s) will not be accepted and your application will be withdrawn from this competition.</u>

#### **Code of Practice**

16. The Academy has a Code of Practice for assessing research applications, setting out the principles of equity, integrity and confidentiality governing the treatment of all applications for research support. The Code of Practice also covers Data Protection, the Academy's ethics policy and the appeals procedure. The Code of Practice may be viewed on the Academy's website at <a href="http://www.britac.ac.uk/node/4120/">http://www.britac.ac.uk/node/4120/</a> Feedback is not a feature of the Grants for Digital Research in the Humanities scheme and the Academy is, regretfully, unable to enter into correspondence regarding the decisions of the awarding Committee, which are governed by the Code of Practice. Please note that by applying in this scheme, applicants undertake to accept the terms under which applications are assessed.

# **Application Information**

17. Applications can only be submitted online using the British Academy Flexi-Grant® Grant Management System (GMS) via (https://britishacademy.flexigrant.com/). Further information about the GMS can be found here: <a href="http://www.britac.ac.uk/flexi-grant">http://www.britac.ac.uk/flexi-grant</a> If you have not previously used the British Academy's Flexi-Grant® GMS and were not previously registered in the previous e-GAP system, please follow the registration process from the Flexi-Grant® homepage. Applications cannot be submitted on paper or in any other format.

Before completing the online form, all applicants should check that they comply with the eligibility requirements and ensure all necessary information is presented in the application. These requirements are strictly adhered to, and applications without all the necessary information, or evidence to show the assessment criteria are met, will be rejected.

Registered approving organisations will be available in the search bar. If your organisation is not listed and is not affiliated with any organisations already in the system, then please contact us at <a href="mailto:grants@britac.ac.uk">grants@britac.ac.uk</a> to request the addition of the organisation.

Applicants should be aware that this application form will be considered by appropriate assessors who will be members of a specially appointed Panel chaired by the British Academy's Vice-President for Humanities. Recommendations for funding will be confirmed by the Research Awards Committee. The application will be treated as confidential at all times.

# When completing your application on the British Academy Flexi-Grant® GMS, it is recommended that you take particular note of the following points:

- *Personal details:* When registered in the British Academy Flexi-Grant® GMS, a user has the option to add or update personal information such as contact details, log-in details (including email address and password), interests, research and employment details, at any time. This represents a personal record of your account in the system, and will help to populate the contact details in any application form you complete. It is useful if this information is kept up to date, but it is not essential to the progress of an application.
- Automatic log-out: You are strongly advised to save your work regularly to prevent accidental loss of information. In particular, you should be aware that if the system does not detect any activity for 2 hours it will log out and save the application at that time. Please note that moving

between *pages* within an application form will save the page that you are exiting but completing a field on a page is not considered an activity. It is recommended that you write the text for longer sections/fields in a word processor such as Word and then copy and paste into the relevant text box to avoid being timed out in this way.

- *Multiple sessions:* You should not have multiple browser windows/tabs of your application open at the same time as this may cause information to be lost.
- Word limits: When completing boxes that have a restricted length (note that it refers to words) you should note that if you exceed the specified amount you will not be able to save when you press the save button. You should type the text for the longer-length boxes in a word processor. You will then be able to check the word count and paste it into the British Academy GMS. You will then still have a copy of the text to return to in the word processor. The word limit applies to text boxes.
- *Plain text*: If entering plain text, please avoid using symbols as some may not be accepted by the British Academy GMS. You should generate and view a PDF of your application to check that the application appears as you want it to by clicking on print application.
- *Uploading PDF documents:* Please note the only item you may upload is a copy of sample questionnaire, if you are using one. When uploading PDF documents, please add your name and a heading at the top of every page to show what the document is. Please avoid uploading documents containing illustrations with fine details or colour as this can cause problems when creating a PDF of the application. Please note we will only print your applications in black and white. Each PDF cannot exceed 3 Mb in size.
- *Email addresses:* The British Academy GMS relies heavily on automatic email contact. It is essential that you ensure you enter accurate email addresses where requested as it may cause considerable delay in the submission/processing of your application if any of these are incorrect. You cannot make changes to email addresses after your application has been submitted for approval.
- *Submission:* You will not be able to submit your application until you have completed each section in full. You should submit your application for approval by a designated approver at your host organisation at least 5 working days before the closing date to allow for your host organisation's administrative procedures. We strongly advise that you submit your application as early as possible to allow enough time for your nominated referee to provide their reference in the system and to allow your institution enough time to approve your application.
- Application sharing: All applications must be started by the applicant who is to be the Principal Investigator (PI) on the award. You can invite other contributors to join the application (e.g. Co-Applicant, Head of Department, Finance Office contact etc), but in order to do so your contributor will need to be registered in the British Academy's Flexi-Grant® system first. You will need to ensure you have their registered email address to invite them to participate in your application. You can let other British Academy GMS users view your draft application, in advance of submission, by providing their email address and entering the permissions you want them to have. They will be able to log in using their existing password and see your application and depending on permissions, amend your application.
- *Application deletion:* You can delete your application at any time although it is often a lot easier to just re-edit your existing application. We will be able to recover a deleted application for a period of 7 days after deletion. After this, it will be permanently removed from the system.
- *Application returned for editing* The approver can return your application to you for further editing see 'Submission of Application' below.
- *Guidance:* In the tables below you will find in the left-hand column each question as set out in the application sections and in the right-hand column useful guidance on its completion.
- *Further clarification:* If any of this advice is unclear, or you need further information, please do not hesitate to seek clarification from the British Academy's Research Awards Team (contact details at the end of these notes).

PLEASE NOTE THAT IT IS ESSENTIAL THAT YOU CREATE A PDF OF YOUR COMPLETED APPLICATION (by clicking 'Print Application'), AND CHECK IT THOROUGHLY, INCLUDING EMAIL ADDRESSES AND UPLOADED PDF FILES, BEFORE SUBMITTING IT FOR APPROVAL BY YOUR HOST ORGANISATION. IT MAY NOT BE POSSIBLE TO RECTIFY MISTAKES IN TIME FOR THE DEADLINE.

### WORD LIMITS APPLY TO PLAIN TEXT ONLY. PAGE LIMITS APPLY TO PDFs ONLY

# All FIELDS MARKED WITH AN ASTERISK\* ARE MANDATORY

YOU SHOULD NOT HAVE MULTIPLE BROWSER WINDOWS/TABS OF YOUR APPLICATION OPEN AT THE SAME TIME AS THIS MAY CAUSE INFORMATION TO BE LOST. ONLY ONE USER SHOULD EDIT AN APPLICATION AT A TIME, OTHERWISE CHANGES MIGHT BE LOST.

**Completing the application form:** The application can be completed by using the navigation tabs on the application summary page, with each page categorised as follows:

Page 1: Eligibility criteria

Page 2: Lead Applicant details

Page 3: Lead Applicant Career Summary

Page 4: Co-Applicant details

Page 5: Second Co-Applicant details/Career Summary

Page 6: Research Proposal

Page 7: Financial Details

Page 8: Equal Opportunities

Page 9: Nominated Referee

A full list of question fields to be completed as part of the application can be found in the following table. Each box in the table represents a page of the application form: the left-hand column contains the questions within each of these pages as set out in the form; the right-hand column contains useful guidance on its completion. A red asterisk (\*) indicates which questions are mandatory and therefore must be complete before the application can be submitted. Please read the guidance notes carefully before completing the form.

#### **SUMMARY**

# **Summary table**

When your application form is complete, all sections on this summary table will be marked as 'Complete'. The 'Complete' status will only appear when all the mandatory fields in that section have been completed. All mandatory fields are marked with an asterisk '\*'. Only then will a 'Submit' button appear for you to be able to submit your application.

## **PAGE 1: ELIGIBILITY CRITERIA**

# Primary and Secondary Subjects

These grants will be awarded to researchers intending to work in any aspect of research relevant to digital research resources in the humanities including:

- History, including History of Science and Medicine
- History of Art and Cultural History
- Philology and Linguistics
- Film, dance or music studies (within a historical or critical context)
- Literary texts and/or documents in any Humanities subject area.

|   | You will need to select the relevant subject areas and fields from those in the drop-down list.  |
|---|--|
| Time Period,<br>Audiences,<br>Regional Interests  | These fields provide the opportunity to give further indications of the relevance of your subject area. They are optional fields.  |
| Employing Organisation Please be aware that it is your responsibility to ensure that you complete your application in time for your employing institution to process it and provide their approval by the closing date. | Please select your current employing organisation. The majority of appropriate establishments are registered in the system. If your employing organisation is not there, however, please contact the institution's research support services, or equivalent, and ask them to provide the Research Awards Department with contact details of an appropriate person in the organisation to act as an approver on its behalf.  All applications must be approved by the employing organisation authorities e.g. research grants office, finance department, etc. The approving department will receive email notification once you have completed your application and submitted it. They will be asked to provide approval and then submit the application to the British Academy. It is strongly recommended that the applicant maintains an open dialogue with the approving department at your employing institution as the British Academy cannot be held responsible for emails being caught in spam filters or not being received.  Please note that independent scholars are not eligible to apply to this call.  It is recommended that you allow at least five working days for this process. Once they have checked your application they will contact you if any changes are required, please note if changes need to be made these will have to be done before the deadline as they cannot be done afterwards. |
| <b>Co-Applicants</b>  | Please note that all applications must have one lead applicant, although applications on behalf of more than one person are welcome. Please note that all correspondence is sent via the system <b>only</b> to the individual in whose name the application is submitted on the British Academy GMS. The applicant is responsible for notifying any other parties. If there is more than one applicant, or the research involves other partners, please complete this section, and the relevant sections of the co-applicant personal details and co-applicant career summary.  A 'co-applicant' is a joint director of the project with equal responsibility for the academic management of the project.  For the Digital Research in the Humanities scheme up to a maximum of two co-applicants can be named.  Postgraduate students are <b>not eligible</b> to apply for grant support from the Academy, and applicants (and co-applicants) are asked to confirm in the personal details section(s) that they are not currently working towards a PhD, nor awaiting the outcome of a <i>viva voce</i> examination, nor awaiting the acceptance of any corrections required by the examiners.  Other participants in a project, whose involvement does not equate to being a   |
|   | 'co-applicant' should be named in the relevant section (other participants) in the Research Proposal section.  |

# PAGE 2: LEAD APPLICANT PERSONAL DETAILS

|                                   | Please take care to review and complete your personal details accurately. Errors in this section can cause difficulties in the processing of your application.                       |
|-----------------------------------|--|
| Lead Applicant<br>Contact Details | You can update your personal details by checking 'my account' and selecting the link to 'my contact details' and 'my organisation' and ensuring the relevant details are up to date. |

# PAGE 3: LEAD APPLICANT CAREER SUMMARY

| Statement of qualifications and career                    | Please give details of up to 4 qualifications <b>in reverse chronological order</b> .   |
|---|---|
| Present Appointment, Employing Institution and Department | Please give details of your current appointment.  |
| PhD confirmation  | Applicants working towards a PhD or awaiting the outcome of their viva/submission of corrections are not eligible to apply. Please therefore confirm that you have a PhD by answering yes. If you are an established scholar with relevant equivalent experience, but no doctorate, please select 'no' and indicate in the 'personal statement' field why you should be eligible for consideration.   |
| Personal statement  | This field is optional, but may be used, for example, in providing information regarding interruptions to an academic career. The limit on this field is 150 words  |
| Publications,<br>Unpublished<br>Research                  | Please list up to 6 relevant publications to date <b>in reverse chronological order</b> under publications; and any unpublished studies previously funded by any agency, including but not restricted to the British Academy. Please give details of planned publication dates, or explain why the research has not yet been published. Assessors may take backlogs of publication into account.  |
| Previous support<br>Dates                                 | An application will not be eligible for consideration if there is a report outstanding on any previous research grant awarded by the Academy to the applicant or any co-applicant. Failure to follow this guidance will result in your application being withdrawn from this round of competition.  Please give details of any research applications submitted to the British Academy within the last five years. In the case of a further application for continuing research which has received previous Academy support, please note that a full report and statement of expenditure for the previous grant must be submitted, before further funding can be considered. |
| Where did you hear of this scheme?                        | This field is optional. It helps the British Academy to target appropriate resources towards the promotion of the scheme to know where an applicant hears about it. As appropriate please state BA website; BA literature; PhD supervisor; University Research Office; Twitter, Other Colleague etc.  |

# PAGES 4 & 5: CO-APPLICANT PERSONAL DETAILS/CAREER SUMMARY

|                          | In this scheme up to a maximum of two co-applicants can be named.  |  |
|--------------------------|--|--|
| Specify Co-<br>Applicant | Your co-applicant(s) will need to register themselves on the British Academy GMS before they are able to complete this section.  If applicable, please enter all the details of your co-applicant(s) by clicking 'Contributors' tab on the Application's 'Summary' page. Please click on the 'Invite' button, enter the co-applicant's email address and then click 'Send Invitation'. If necessary, it is possible to enter additional co-applicants by repeating the instructions above. |  |
|                          | Once you have clicked on the 'Send Invitation' button, your co-applicant will be able to view your application and, depending on permissions, amend and submit your application.   |  |

# PAGE 6: RESEARCH PROPOSAL

| Subject Area                  | Please select the Subject Area from the drop-down menu that is most relevant to this proposal  |
|-------------------------------|--|
| Subject                       | These grants will be awarded to researchers intending to work in any aspect of research relevant to digital research resources in the humanities, including:   |
|                               | <ul> <li>History, including History of Science and Medicine</li> <li>History of Art and Cultural History</li> <li>Philology and Linguistics</li> <li>Film, dance or music studies (within a historical or critical context)</li> <li>Literary texts and/or documents in any Humanities subject area.</li> </ul> Three of the grants are available through Jisc funding and are earmarked specifically to support research that makes use of the digitised UK Medical Heritage Library (UKMHL) corpus, which includes over 65k digitised 19th century history of medicine texts openly available on Jisc's Historical Texts at <a href="http://historicaltexts.jisc.ac.uk">http://historicaltexts.jisc.ac.uk</a> Please indicate if you are proposing to use this dataset in your research in the Proposed programme and plan of action fields. |
| Title of Research<br>Proposal | In this field, please avoid using any unnecessary quotation or other punctuation marks (e.g. do not use quotation marks round the full title simply to indicate it is a title). Consider the presentation by checking the 'print application' option to ensure that the title appears as you wish it to.   |
| Abstract                      | Please provide a short abstract summarising your proposed research in terms suitable for an informed general audience, not one specialised in your field. This is a mandatory field and the limit is 150 words   |
| Starting date/ end date       | To select a date in the future using the calendar feature, click on the month and year in between the two arrows at the top of the box. To change the year keep clicking on the box – a second click will produce a decade. Use the arrows to move back or forward in time. To change the month, a second box will appear where you can select the month you wish and then you can type the year you require in the box provided. Click on the date and the calendar will take you to the month and year you have selected where you can select the relevant date for display.  Please note that awards may be held over a period of up to 12 months from a starting date not earlier than 1 September 2018 and not later than 1 January 2019.   |

This is not a call for the digitisation of material that is not currently available in digital format. Rather, it is more explicitly aimed at highlighting outstanding examples of where existing digital material can be used and re-used to demonstrate the capacity to generate new knowledge. Successful applicants must identify and address specific humanities research questions and will be expected to state explicitly in this field the ways in which their use of digital resources will make a significant difference not only to knowledge and understanding of the material that is specifically the subject of the application, but also to wider understanding of how other projects might follow the examples being set through this programme.

# The proposal must;

- clearly specify the context, and research questions and objectives of the proposed study,
- show its relation to the existing state of research on the subject,
- describe the methodology to be used, and
- set out a realistic research programme, describing the activities that will take place, and explaining how they will contribute to the achievement of the research objectives

# Proposed programme/plan of action

Applicants should give an account of their research which is complete of itself, and should not depend on material facts being provided by their referees, nor rely on special prior knowledge on the part of assessors.

It is essential that applicants clearly state the reasons why funds are needed. For example, it is not sufficient to state that the applicant will visit a particular archive: adequate details must be provided of sources to be consulted, and the length of visit must be clearly justified.

If a research assistant is to be employed, applicants should provide a brief job description, clearly stating the nature of the work to be undertaken by the research assistant, and the arrangements for ensuring adequate supervision. If the research assistant has already been identified, details should be given under 'other participants'. Otherwise, applicants should state the skills and qualifications sought. Applicants should justify the period of employment (or number of hours) for which funding is sought (e.g., has a pilot study or sample been conducted to show how long it will take the assistant to achieve the task in question?). **Please note** that PhD candidates employed as research assistants on projects funded by the Academy should work for no more than 10 hours per week on projects outside their own PhD research. British Academy research grants may not be used to fund work by PhD candidates that is directly related to their PhD thesis.

The limit for the proposed programme field is 1500 words, and for the plan of action is 800 words.

# Planned research outputs/plans for publication/ dissemination

Under 'planned research outputs', please only state the type of output expected. Please give more detail about potential publishers etc under plans for publication, for which the limit is 500 words. In assessing value for money, the assessors may take into account the intended outcome as compared with the amount of money sought, although it is fully appreciated that some modes of research are more expensive than others and proposals will not be discriminated against on grounds of cost alone.

# Digital Resource/Deposit of Datasets

It is a condition of award that digital <u>outputs (e.g. further data, software tools)</u> created as a result of research funded by the Academy be deposited in an appropriately accessible repository. Applicants should ensure that <u>their work is informed by the appropriate level of technical expertise</u>.

| If it is necessary for you to obtain research leave in order to undertake this research project, has this already been granted?   |
|---|
| The Academy expects that applicants will have any language skills necessary to conduct the research. If relevant, please state the level of language competence of the applicant and other participants, or otherwise explain how the objectives of the research will be met.   |
| Under 'Other participants' please give the names, appointments and institutional affiliation of any other participants in the proposed research. If detail is not known yet, please indicate numbers and status of people who might be involved. Please describe the contribution to the project to be made by other participants, citing any particular specialisms and expertise, under 'role of other participants'; and under 'Added value of collaboration', please provide any comments you wish to make on the particular relevance, timeliness or other aspects of the collaboration, and the benefits envisaged.   |
| This field is mandatory and must be addressed by all applicants.  |
| Are there any special ethical issues arising from your proposal that are not covered by the relevant professional Code of Practice? Have you obtained, or will you obtain, ethical approval from your employing institution or other relevant authority?  |
| It is not expected that any special ethical issues will arise that are not already covered by relevant professional Codes of Practice. The normal expectation, therefore, will be that applicants should answer the pair of questions with the answers no and no.   |
| If there are any special issues arising, but they have already been cleared by approval from a relevant authority, please answer yes and yes.   |
| If any special issues arise and approval has not yet been obtained, please answer yes and no, and provide more explanation.   |
| Please provide details of other support given or applied for in connection with the current proposal.   |
| Please indicate whether any other grant applications relating to this project have been submitted, either to the British Academy or to any other funder. Applications submitted to the Academy by separate applicants will not be accepted if it is considered that both (or all, if more than two) applications relate to the same project, whose components would not be viable as independent pieces of research.  |
| The Academy has no objection to its grants being held in conjunction with awards from other bodies, provided that there is no duplication of expenditure. Applicants are requested to keep the Academy informed of the outcomes of any other applications (by email to grants@britac.ac.uk). Failure to do so may jeopardise the application's prospects of success. In cases where simultaneous applications to the Academy and to another funding agency covering the same elements of a project are both successful, the applicant will be asked to choose which award to accept. Only if there is no duplication and no unnecessary inflation of a project will an applicant be permitted to retain both awards (subsequent requests to alter the plan of research simply to allow the applicant to retain both awards are unlikely to be considered favourably). |
|   |

#### PAGE 7: FINANCIAL DETAILS

Funds are available to support the direct costs of research only (i.e. this award is not offered on a FEC basis). That is to facilitate project planning and development, including research and technical assistance, meetings to progress the project, including travel and visits in connection with the project; and to enable the advancement of research through focussed workshops, or visits by or to partner scholars. Applicants may seek support for any combination of eligible activity and cost up to the overall limit of £10,000 with relevant justification.

More specifically, funds may be sought to cover the direct expenses incurred in planning, conducting, and developing the research, including:

- project planning and development costs (including cost of travel for discussion in the UK and overseas);
- research assistance (based in UK or abroad);
- costs associated with holding focussed workshops to advance the programme of research (principally the costs of travel and maintenance for key participants, though organisational costs may also be considered if suitably justified);
- consumables, including specialist software Funding may be requested for the development of software tools for processing existing data.

Bids for the cost of childcare may be considered if the parent/carer is conducting research away from home and it is not possible to make alternative arrangements. In such a case, the Academy will consider making a contribution to the element of cost that is directly attributable to the research project. The costs of normal regular childcare whilst at home are not eligible for support.

Consumables include the purchase of specialist software, datasets, photocopies, microfilms, etc, and any other minor items that will be used up during the course of the project. Applicants may apply for short-term consultancy or salary costs for expert staff, or short periods of research assistance. Costs associated with deposit of digital material in an appropriately accessible repository may be considered.

The following items are **not eligible** for funding (applicants registered with special needs may consult the Academy about possible exceptions): institutional overheads, or any element that should properly be ascribed to institutional overheads; computer hardware including laptops, electronic notebooks, digital cameras, etc; books and other permanent resources; the preparation of cameraready copy, copy-editing, proof-reading, indexing, nor any other editorial task; subventions for direct production costs (printing, binding, distribution, marketing etc); costs of publication in electronic media; payment to the principal researcher(s) (including co-applicants) in lieu of salary, or for personal maintenance at home; replacement teaching costs; travel and maintenance expenses for purposes such as lecture tours or to write up the results of research.

All applications should demonstrate that funds are sought for a clearly defined, discrete project, which will have an identifiable outcome primarily related to the benefit of academic research on completion of the Academy-funded component of the project. Applications must make clear if they propose to work with the medical library corpus referred to in paragraph 7, thereby qualifying for the Jisc contribution to the funding of this programme, by answering the relevant question about 'special funding'.

Financial Details/ Justification

\*Please refer to pages 3-4 above for a full list of eligible costs. If you include ineligible costs your application will be withdrawn from this competition.

Please provide details of funding in the relevant fields.

Applicants should prepare accurate costings for the proposed research expenses and should be particularly careful not to overestimate the resources required. Applicants are advised that competition for funds may be fierce, and proposals on the margin for award may have a greater chance of success if they are modestly costed.

Costs should be clearly itemised and justified in terms of the research programme. If the Academy is being asked to support only a proportion of the total costs, please explain this clearly under proposed programme on the proposal tab.

It is advised that travel costs should be clearly justified; that the length of time for which subsistence is sought should be justified in the application; that *per diem* rates should be explained; that hourly or monthly rates of research assistants should be specified; and that the period of employment needed for a research assistant should be fully justified. If a claim for child care is included, please supply sufficient justification for the case to be assessed.

*Per diem rates:* The Academy will *normally* offer no more than £2,000 per month for subsistence away from home, or a maximum daily rate of £100 for shorter visits, to include food, accommodation and local daily travel. If you are going to be requesting more than this, we ask that you make your case and explain the reasons for this. Applicants are asked to quote realistic daily rates, bearing in mind that the Academy reserves the right to reduce the amount requested if it is thought excessive. In all cases the Academy is looking to ensure value for money.

Please note that no payments can be made to the principal investigator or coinvestigator(s) either for their own salary costs or for replacement teaching.

Please note that grants are cash-limited, and there is no scope for supplementation of an award. Projects should be fully costed from the outset.

Please do not use '£' signs in the amount boxes.

# **Special Funds**

In this round of competition for the Grants for Digital Research in the Humanities programme three of the available grants are funded by Jisc and earmarked specifically to support research that makes use of the digitised UK medical heritage library corpus which includes over 65,000 digitised 19th century history of medicine texts. Researchers are free to propose to conduct any type of research (for example, linguistic, historical, image-based) that uses this corpus or cross-analysing this corpus with any other datasets to which they may have access.

If application is being made for the Jisc-funded element of the programme, please ensure that you explain clearly in the Proposed programme and plan of action fields which datasets you are proposing to use.

Please note that all applications are considered entirely on their merits, and it is not guaranteed that awards will be made simply because of the suitability of the subject area.

### **PAGE 8: EQUAL OPPORTUNITIES**

| Equal         | This section is optional; however, the Academy would greatly appreciate it if you |
|---------------|---|
| opportunities | can complete the details to assist us in our diversity monitoring.                |

The British Academy is committed to its policy of Equal Opportunity in the provision of its grants and awards. Please help us to monitor the effectiveness of this policy by providing information concerning your age, gender, ethnic origin and disabilities (if any).

This information will be kept separately from the rest of your application and will not be seen by those involved in making decisions in the selection process, including referees etc.

#### Date of birth

To select a date in the past using the calendar feature, click on the month and year in between the two arrows at the top of the box. To change the year keep clicking on the box – a second click will produce a decade. Use the arrows to move back or forward in time. To change the month, a second box will appear where you can select the month you wish and then you can type the year you require in the box provided. Click on the date and the calendar will take you to the month and year you have selected where you can select the relevant date for display.

#### **PAGE 9: NOMINATED REFEREE**

#### Referee

Applicants are required to nominate one referee and ensure that the supporting statement from their chosen referee is submitted on the British Academy GMS by the deadline. Please note that the reference must be received before you can formally submit the application for approval, and you are strongly advised to ensure that your referee submits the reference well in advance of the formal deadline for this round of competition, which will be 5pm (UK time) on 13 June 2018. Please note this deadline is final.

Your referee should be familiar with your project and able to comment on its significance and feasibility, and on your abilities. The reference may be supplied by a scholar based outside the UK if you wish. Your referee <a href="https://example.com/has-to-be-drawn-from-outside-the-employing-institution-of-wour-co-investigator(s)">https://example.com/has-to-be-drawn-from-outside-the-employing-institution-of-wour-co-investigator(s)</a>, if any.

Before listing your referee on your application form, you should seek permission from them that they are happy to provide this by the deadline. It is essential that you enter the correct email address for your referee otherwise your referee will not receive the automated messages delivered from the British Academy GMS.

We strongly advise that you submit your application as early as possible to allow your referee enough time to provide their reference in the system.

An application cannot be considered for an award unless the proposal and reference have been submitted on time. Please note that references <u>must</u> be provided through the British Academy GMS, they cannot be provided as an email attachment nor sent by post in hard copy. <u>Any references received after the deadline, or outside of the system, or by another academic at the same institution as you or a co-applicant(s) will not be accepted and your application will be withdrawn from this competition.</u>

### THE ACADEMY WILL ONLY PROCESS COMPLETE, SUBMITTED APPLICATIONS.

## Submission of application

Once you have submitted your application for approval by your host organisation, automatic emails will be sent to your host organisation approver asking them to log on to the system. You will not be able to edit your application after it has been submitted to your host organisation for

approval. The host organisation approver will either: approve and submit your application, 'send back' your application and contact you to request modifications, or decline your application and contact you.

Please be aware that it is your responsibility to ensure that you complete your application in time for your host organisation to process it (including requesting changes) and provide their approval by the closing date. It is recommended that you allow at least five working days for this process, but please check with your proposed host institution as their internal timetables may require earlier submission.

If your host organisation approver requests modifications through the British Academy GMS email facility, they can unlock your application, allowing you to edit it. They can do this by selecting 'Return to Applicant'. An automatic e-mail will be sent to you alerting you of this fact. Once you have completed and saved the requested changes, please re-submit your application for approval by your host organisation.

Once your host organisation has approved your application and submitted it to the British Academy it will not be possible to make any changes.

Please note that once the closing date has passed, host organisation approvers cannot approve applications and submit them to the British Academy and unapproved applications are removed from consideration. No late applications will be accepted.

It is recommended that you check that your application is submitted in time. To see the details of the host organisation approver and to check the status of your application, you should log into the British Academy GMS and click on the link to the Application Portal where a summary of your application will be displayed.

Assessment criteria: Assessors will evaluate the proposal on the basis of its academic merit, taking into account its relevance to the stated aims of the programme, its originality, its relationship to, and the volume of, research already in the field, the scholarly importance of the research proposed, the suitability of the methodology, the feasibility of the research programme, the specificity of the scheme of research, the presentation, and intended outcomes.

Assessors will evaluate the ability of the investigator(s) to undertake the proposed research, taking into account their track record in terms of publication, their academic age and stage of career. Backlogs of publication (including any reasons given by applicants) may be taken into account in assessing the current proposal.

The primary assessment of quality will be based on the specific research objective of the proposal, and whether the methodology and research programme outlined are likely to lead to successful achievement of the objective. The details of how the objective will be achieved - whether through research visits, use of research assistance, workshops, or any combination of eligible activities/costs - will be assessed only in relation to each individual application. No preference will be shown between individual or collaborative modes of research. There are no quotas for different types of application, and no comparisons will be made across applications on the grounds of their operational and financial specifications. For projects involving partners from other countries, assessors may take into account the availability of partner funding: in cases where partner funding is likely to be scarce, assessors may give priority to those projects which are likely to contribute to capacity building and lead to benefits for the wider scholarly community.

Assessors may take into account evidence of language competence where the understanding of material in a foreign language is crucial to the achievement of the research objective.

Comparative judgements about value for money may be taken into account at the final stage of assessment. Any special case made for endangered or emerging fields may be taken into account at the final stage of assessment.

NB. The administration of the scheme is undertaken solely by the British Academy and all aspects of the application process, assessment process and award management is undertaken by the Academy.

# Outcome of application

Once your application has been submitted, complete with the reference, and it has been approved, you will not be contacted again by the British Academy until the decisions have been made. You can track the progress of your application by logging in again to the Flexi-Grant system at any time. Applicants will be notified of the outcome of their application by the end of August 2018. Results are issued by email.

Applicants in any doubt about their eligibility or any other aspect of their application are advised to contact the Research Grants office at the Academy, where staff will be pleased to assist.

Applicants who have technical questions about the Jisc dataset are advised to contact Jisc directly at <u>historicaltexts@jisc.ac.uk</u>.

Tel: 020 7969 5217

Email: grants@britac.ac.uk

The British Academy Research Funding Office 10-11 Carlton House Terrace London SW1Y 5AH

# **Important Dates:**

Deadline for applications: **Wednesday 13 June 2018 (5pm UK time)**Deadline for organisation approval: **Thursday 14 June 2018 (5pm UK time)**Results announced by email to address on application: *before* **31 August 2018.**